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THE TAG RETIREMENT SOLUTION



**Providing
a better retirement
for Employees
by making it easier
for Employers**

TAGRESOURCES.COM

Your Professional Support Team

TAG Resources

Plan Administrator 402(a), 3(16), 3(21)

TAG Resources is the Plan Administrator and a Named Fiduciary, as defined under ERISA sections 402(a), 3(16), and 3(21). It is our job to perform all functions necessary to keep your plan compliant. As such, TAG Resources is responsible for the day-to-day operations of your plan.

The functions we perform on your behalf include: the signing and filing of the Form 5500, QDRO determinations, authorization of benefit payments, ensuring proper spousal consent on payments are obtained, service of legal process for any potential lawsuits related to the Plan, plan operations, participant claims and appeals, distributions, beneficiary determinations, and timely completion and filing of annual information returns on Form 5500, including any related extensions to the plan.

Starkweather & Shepley

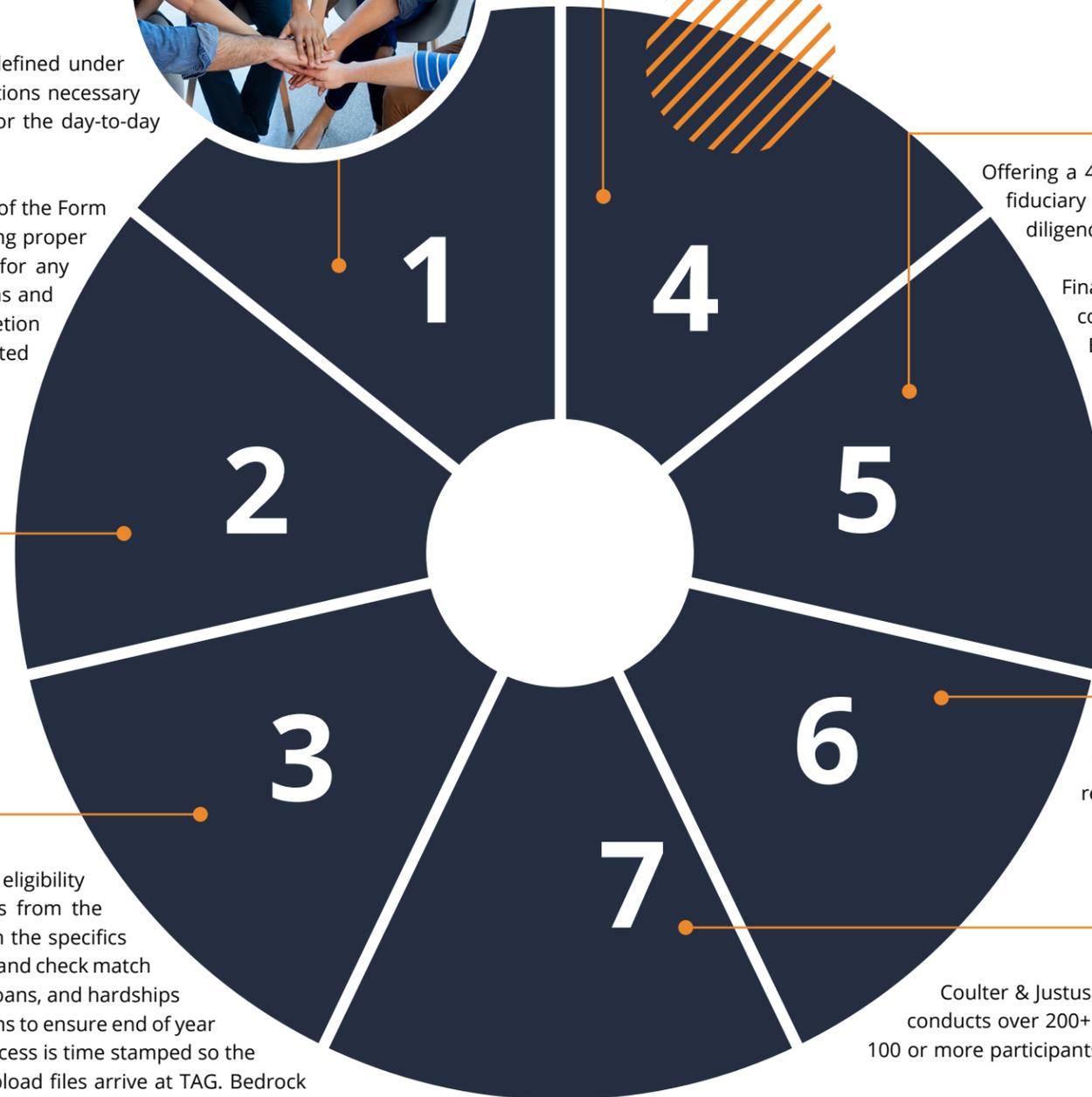
ERISA Bond

Financial Industry Insurance and Bonding – Umbrella Fidelity Bond. Lead Underwriter, Great American Insurance Company / A+ (Superior) rating from A.M. Best.

The Bedrock Reporting System™

TAG Resources Proprietary Software

The Bedrock™ System aggregates data from client uploads to check eligibility and rate changes. With the data gathered from the contribution files from the plan sponsors and recordkeepers, TAG can match their employees with the specifics provided in the sponsor's end of year data upload to determine eligibility and check match amounts. The Bedrock™ System also stores deferral rate changes, new loans, and hardships for the sponsors. The system also monitors contributions and distributions to ensure end of year compliance with plan documents and 401(k) limits. Every step in the process is time stamped so the complete data cycle is monitored from the payroll date to when the upload files arrive at TAG. Bedrock also houses a document center to house all end of year documentation to ensure compliance and a voice center to house all required correspondence with 24/7 access for plan participants.



Investment Manager 3(38)

As the named ERISA 3(38) Investment Fiduciary in the plan document, the Investment Manager is responsible for the selection and monitoring of the funds in the investment menu in accordance with the Investment Policy Statement for the plan.

TAG Resources works with leading global providers of investment services staffed by research professionals, many of whom have hands-on fund management experience and the human capital, technology, and experience to manage investment risk and return outcomes.

Financial Advisor

Offering a 401(k) and selecting the providers to run the 301k to retirement plan is a fiduciary decision. The Financial Advisor plays a key role in helping you with the due diligence process and documentation of that process.

Financial Advisors that offer The TAG Retirement Plan are in tune with the complexity and liability of running a Retirement Plan. Advisors that use the Exchange look to reduce the administration duties and risk to you to the greatest degree possible, and at a cost that is competitive.

In addition, many advisors offer services that will help increase participation, help with enrollment meetings, provide investment guidance and education for the plan participants, provide plan reviews on a regular basis, and suggest potential plan-design enhancements, and experience to manage investment risk and return outcomes.

Industry Leading Recordkeepers Recordkeeper

TAG works with several industry leading recordkeepers to provide pooled retirement products that meet employer's needs.

Coulter and Justus, P.C. Audit Firm

Coulter & Justus, P.C. is an AICPA Employee Benefit Plan Audit Quality Center Member and conducts over 200+ 401(k) audits each year. Federal law requires employee benefit plans with 100 or more participants to conduct an annual audit.

Coulter and Justus, PC is registered with the Public Company Accounting Oversight Board, which is a requirement to perform audits for SEC companies.

5 THINGS EVERY EMPLOYER WANTS IN A 401(K)

You want to provide a retirement plan for your employees that...

IS EASY TO ADMINISTER

TAG Resources performs 90% of the administrative duties required for a company to offer a 401(k) to their employees. This removes the administrative burden from company employees and executives so you can focus on what's most important — running your business.

You don't want to be 401(k) experts.

IS COST COMPETITIVE

This Solution, built on an "aggregated" model that "pools" all plans together brings your company the advantage of economies of scale on pricing.

You don't want to overpay.

MINIMIZES FIDUCIARY LIABILITY

The TAG Retirement Solution allows a company to shift its fiduciary duties to TAG Resources, to the greatest extent permitted by law.

This provides your company with fiduciary support and helps mitigate your defined legal obligations and responsibilities. With TAG Resources commitment, you have the confidence that your fiduciary obligations are being met.

You don't want to be at risk.

IS COMPLIANT

As of the 2015 Department of Labor random audit period, the DOL reports more than 67% of retirement plans failed their audit.* However, plans administered as plans administered by TAG Resources have a much higher chance of passing a DOL audit.

TAG is your retirement Support Staff, signs the Form 5500, and ensures each plan is consistently in compliance with the DOL and IRS regulations. If you are out of compliance, TAG is out of compliance — and that has not happened in TAG's 15+ years of operation.

*U.S. Department of Labor, Employee Benefits Security Administration, 2015.

You don't want fines or penalties.

TEAMS WITH WELL KNOWN PROVIDERS

The TAG Retirement Solution combines 3(16), 3(38), and TPA services for "end to end" retirement plan oversight. TAG teams with the industry's top recordkeepers.

You want to partner with the best.



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If you would like more information about The TAG and Transamerica 401(k) Retirement Plan Exchange®, please contact us at:
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